

DISCLOSURE DIVISION

- ☒ **WAIVER REQUEST**
☐ **ANSWER**
☐ **RECONSIDERATION REQUEST**
☐ **UNTIMELY**

DATE: 7/16/2021

DOCKET #: 2021-680

Ashley Wimberley, Director
Disclosure Division

FILER INFORMATION

Name: Michael C Hollis
Address: 3510 Causeway Blvd., Suite 300, Metairie, LA 70002
Office/Position: New Orleans City Park Board of Commissioners / New Orleans / Orleans
of Disclosures/Amendments Filed with Agency: 7
Years Covered: 2014-2020
Final Report: No

REPORT INFORMATION

Name of Report: Tier 2.1 Annual Personal Financial Disclosure covering calendar year 2018
Report ID: PFD21002740
Original Due Date: 5/15/2019
NOD Received: 1/13/2020
PFD/Answer Due Date based on NOD: 1/23/2020
PFD/Answer Filed: 5/21/2021

LATE FEE INFORMATION

Amount of Late Fee: \$1500
Days late from receipt of NOD: 484
Total days late from initial due date: 737
Late Fee Order Received: 4/29/2021
Payment/Waiver Request Due Date: 5/19/2021
Waiver Request Received: 5/19/2021

COMMENTS:

Michael Hollis is requesting a waiver for the late filing of his 2018 PFD. Mr. Hollis stated once he received his notice, he quickly complied by submitting the missing disclosure. In 2018, he was diagnosed with Epilepsy and his medication has severe side effects which created gaps in both his short and long-term memory. In 2019, he moved and had issues with notifying everyone to inform them of his address change. In 2020, with the challenges of COVID-19 and now having to work from home on a new platform, which was especially difficult for him to learn. He sold his firm Hollis Companies Employee Benefits and the Employee Benefits Corporation to HUB International, which created additional challenges with his mail; And from 4/25/2021 to 5/8/2021, he was out of town with his family and had limited access to his email. Mr. Hollis stated throughout his years of service with the City Park Board, he has always completed his reports and training in timely manner, thus why he is asking the Ethics Board to waive his fine due to the circumstances listed. This is Mr. Hollis's first late fee assessment.

OTHER LATE FEE INFORMATION

Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

LA BOARD REC'D
MAY 25 '21 PM 5:49

Michael C Hollis
7440 Sardonyx Street
New Orleans, LA 70124
504-908-3172

Mike.hollis@hubinternational.com

May 19, 2021

LA Board of Ethics
Ms. Ashley Wimberley
Ashley.wimberley@la.gov

Dear Ms. Wimberley,

I am writing today to request a waiver of the late fee for my recently due Tier 2.1 Personal Financial Disclosure Statement as it relates to my non-paid board member position on the New Orleans City Park Board. My request is due to several reasons that I am mentioning below. Once I received the notice, I was quick to comply by completing this year's filing. Permit me to share some of my most recent challenges:

- Back in 2018 I was diagnosed with Epilepsy. This diagnosis afforded me many associated handicapped statuses. The pharmacy regimen has some severe side effects with short- and long-term memory gaps. (if needed, I can provide additional medical documents)
- In 2019, my personal residential address changed and have had challenges in keeping up with changing all of my address changes.
- In 2020, I sold my firm (Hollis Companies Employee Benefits and The employee Benefits Corporation to HUB International) and with that, additional mail challenges.
- From April 25, 2021 – May 8, 2021, I have been out of town with my family with limited email accessibility and thus didn't see the notices sent to me prior to the deadline (and again, I can provide additional information indicating this travel)
- Lastly, with the challenges of Covid-19 and working from home as best as possible coupled with the above-mentioned issues and working on an entire new platform has been especially difficult for me to learn. Throughout my years of service with the City Park Board I have completed these and other mandated requirements (sexual Harassment and Ethics courses). Due to these topics, I am asking for a waiver of the penalties associated with the above.

Warm regards,

Michael C. Hollis



Ashley Wimberley

From: Hollis, Mike <mike.hollis@hubinternational.com>
Sent: Wednesday, May 19, 2021 3:17 PM
To: Ashley Wimberley
Subject: Request for waiver of ethics waiver
Attachments: HPSCAN_20210519200716217_2021-05-19_200906688.pdf

EXTERNAL EMAIL: Please do not click on links or attachments unless you know the content is safe.

Hi Ashley, thank you again for your patience and willing to help me through this! If the rest of your department is as good as you, the department of ethics rocks! Please let me know if this satisfies the need for this request.

The additional two years of filing will be forthcoming tomorrow.

Regards,
Mike

Michael Hollis
Sr. Vice President

HUB INTERNATIONAL
3510 N Causeway Blvd.
Suite 300
Metairie, LA 70002

Cell: 504-908-3172
Office: 504-836-2634

mike.hollis@hubinternational.com
hubinternational.com

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Begin forwarded message:

From: eprintcenter@hp8.us

Date: May 19, 2021 at 3:09:18 PM CDT

To: mike@hollisco.com

Subject: [EXTERNAL] Scanned document from HP ePrint user

This email and attachment are sent on behalf of **mike@hollisco.com**.

If you do not want to receive this email in future, you may contact **mike@hollisco.com** directly or you may consult your email application for spam or junk email filtering options.

Regards,
HP Team



STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS
P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

CERTIFIED MAIL

NO. 70180360000054103495

RETURN RECEIPT REQUESTED

January 10, 2020

Michael C Hollis
2800 Veterans Memorial Blvd Suite 365
Metairie, LA 70002

RE: NOTICE OF DELINQUENCY - FAILURE TO FILE
Statement covering 2018

Dear Michael C Hollis:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

You have 7 business days from the date of receipt of this Notice to file your Tier 2.1 Personal Financial Disclosure Statement covering 2018, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 2.1 Personal Financial Disclosure Statement (Form 417) is available on the Louisiana Board of Ethics website at www.ethics.la.gov. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

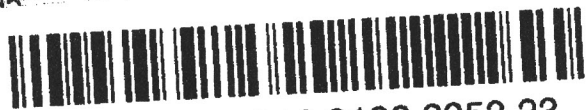
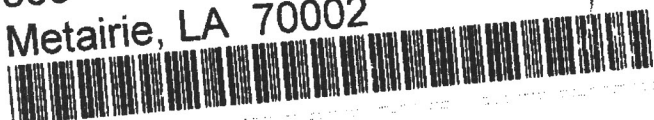
Sincerely,

Blair Perkins
Compliance Officer

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3
- Print your name and address on the reverse so that we can return the card to you
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
Michael C Hollis
2800 Veterans Memorial Blvd Suite
365
Metairie, LA 70002



9590 9402 5216 9122 2353 23

2. Article Number (Transfer from service label)

7018 0360 0000 5410 3495

PS Form 3811, July 2015 PSN 7530-02-000-9053

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

glou

☐ Agent

☐ Addressee

B. Received by (Printed Name)

J. Butru

C. Date of Delivery

1-13-20

D. Is delivery address different from item 1? ☐ Yes

If YES, enter delivery address below: ☐ No

6218 0360 0000 5410 3495

3. Service Type

- ☐ Adult Signature
- ☐ Adult Signature Restricted Delivery
- ☐ Certified Mail®
- ☐ Certified Mail Restricted Delivery
- ☐ Collect on Delivery
- ☐ Collect on Delivery Restricted Delivery
- ☐ Mail
- ☐ Mail Restricted Delivery

- ☐ Priority Mail Express®
- ☐ Registered Mail™
- ☐ Registered Mail Restricted Delivery
- ☐ Return Receipt for Merchandise
- ☐ Signature Confirmation™
- ☐ Signature Confirmation Restricted Delivery

Domestic Return Receipt